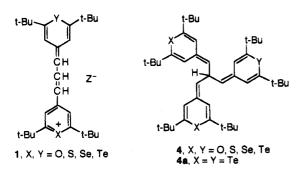
A Mechanism for Heteroatom Scrambling in the Synthesis of Unsymmetrical Chalcogenopyrylium Trimethine Dyes

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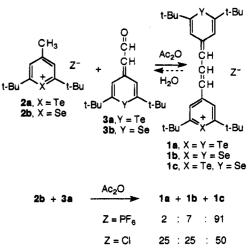
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The chalcogenopyrylium trimethine dyes 1 have chromophores with large extinction coefficients, narrow bandwidths, and absorption maxima in water that vary between 590 (X = Y = O) and 810 nm (X = Y = Te).¹ The dyes 1 have found utility in several commercial applications including optical recording,² electrophotography,³ and thermal dye transfer⁴ and in medical applications including the photodynamic therapy of cancer^{1,5} and as detectors of reducing microorganisms.⁶ The telluriumcontaining dyes have served as catalysts for the activation of hydrogen peroxide and as catalysts for solar energy storage.⁷ The utility of dyes in this series is due in part to the control of wavelengths of absorption and other photophysical properties available through the various possible combinations of heteroatoms.¹



Although the synthesis of symmetrical dyes 1 (X = Y)is straightforward, the synthesis of unsymmetrical analogues $(X \neq Y)$ has been complicated by the scrambling of heteroatoms during synthesis to give mixtures of dyes. The general synthetic approach to dyes of this type is shown in Scheme 1 and has been utilized in the synthesis of both symmetrical and unsymmetrical dyes with acetic anhydride functioning as both a weak base and solvent.¹ With nonbasic counterions such as tetrafluoroborate,





perchlorate, or hexafluorophosphate, the synthesis of unsymmetrical dyes 1 gives high yields of the desired products, but detectable amounts of the symmetrical counterparts are present. With counterions such as chloride or bromide, which have more Lewis basicity, scrambling of the chalcogenopyranyl rings is observed to give a statistical distribution of dyes.^{1b} The counterion dependence is illustrated in Scheme 1 for the condensation of selenopyrylium salts 2b with telluropyranyl aldehyde 1a. Labeling studies have shown that the heteroatom scrambling involves the entire chalcogenopyranyl ring and not just the heteroatoms.^{1b} One plausible mechanistic explanation offered for the observed scrambling is a reverse aldol reaction in unsymmetrical dyes 1 to give scrambling of the chalcogen atoms in intermediates 2 and 3.^{1b}

The synthesis of unsymmetrical dyes 1 is important to our continuing evaluation of the use of these materials as sensitizers for photodynamic therapy.^{5a} In this paper, we report our reinvestigation of the heteroatom scrambling described above and offer a new mechanistic description in which tris(chalcogenopyranylmethylidene)methanes 4 are formed as intermediates in the heteroatom scrambling.

The reverse aldol reaction with dyes 1 would be a simple hydrolysis/acetolysis of the dye via the addition of water or acetic acid. A sample of 1c as the chloride salt, free of either 1a or 1b, was dissolved in acetic anydride and either 1 equiv of water or 2 equiv of acetic acid added. After several hours at reflux, no apparent scrambling was observed spectrophotometrically in either reaction. It should be noted that the reaction of water (0.05 M) with acetic anhydride (solvent) at reflux has a half-life of approximately 3 min as monitored by the appearance of acetic acid via gas chromatography. Typically, the synthesis of the dyes according to Scheme 1 is complete within 15 min as is the the scrambling in the preparation of 1c (Z = Cl). These data suggest that the reverse aldol process is kinetically incompatible with the scrambling mechanism.

In the absence of the reverse aldol as a likely mechanism for heteroatom scrambling, we reasoned that some other intermediate was formed during the synthesis of dyes 1. The condensation reactions of 2 and 3 to give 1 presumably involve deprotonation of the 4-methylchalcogenopyrylium salt to give the corresponding 4-methylidenechalcogenopyran 5. This species is perhaps the

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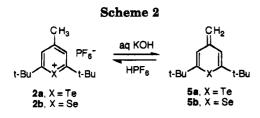
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 M. R.; Gibson, S. L. J. Am. Chem. Soc. 1990, 112, 4086.



intermediate that reacts with the carbonyl of aldehydes 3. The trimethine dyes 1 might be sufficiently electrophilic to react with compounds 5 to give the tris(chalcogenopyranylmethylidene)methanes 4 following deprotonation.

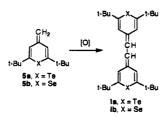
The 4-methylidenechalcogenopyrans 5 were prepared from deprotonation of the corresponding 4-methylchalcogenopyrylium salts as shown in Scheme 2. An ethanolic solution of salt 2 was added to a deoxygenated pH 9.3 buffer (0.25 M dibasic potassium phosphate) solution under argon at ambient temperature. Following extraction into ether, the 4-methylidenechalcogenopyrans were purified via chromatography on basic alumina eluted with toluene as air-sensitive oils in 92 and 88% isolated yields for 5a and 5b, respectively.⁸

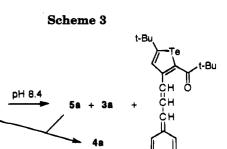
The 2-fold symmetry of compounds 5 was apparent from their proton and carbon NMR spectra. The proton spectra of both 5a and 5b were each characterized by three singlets corresponding to the olefinic protons, the exocyclic methylene, and the *tert*-butyl groups, respectively. The carbon spectra were characterized by four resonances corresponding to the olefinic carbons and two resonances corresponding to the *tert*-butyl carbons.

The structures of compounds **5** were also confirmed by protonation at the exocyclic carbon (Scheme 2). The addition of HPF₆ to **5a** or **5b** gave the original 4-methylchalcogenopyrylium salt **2a** or **2b** in 85 or 80% isolated yields, respectively.

The tris(telluropyranylmethylidene)methane **4a** was first isolated during hydrolysis studies of **1a** (Z = Cl) at various values of pH. Preparative scale synthesis of **4a** was realized with the slow addition of an ethanolic solution of dye **1a** (Z = Cl) to a carefully deoxygenated phosphate buffer solution at pH 8.4. Tris(telluropyranylmethylidene)methane **4a** and telluropyranyl aldehyde **3a** were isolated in 69 and 80% yields, respectively. The same products were observed at lower pH, but rates of reaction slowed with decreasing pH. In the synthesis of **4a**, small amounts of the ring-contracted ketone **6** (<5%)

⁽⁸⁾ The methylidene compounds were easily oxidized in aerated solutions giving, among other products, the dimeric bipyranylidenes I. The bipyranylidenes I were partially separated from other oxidation products via chromatography on alumina eluted with dichloromethane in 20% isolated yield (in approximately 90% purity) for Ia and 24% isolated yield for Ib (in approximately 90% purity). The ¹H NMR spectra of Ia and Ib were characterized by three 2-proton singlets for the olefinic protons of the bridge and the ring (δ 6.46, 6.24, and 5.79 for Ia; δ 6.56, 6.27, and 5.51 for Ib) as well as two 18-proton singlets for the *tert*-butyl groups (δ 1.27 and 1.22 for Ia; δ 1.26 and 1.21 for Ib). The isotope clusters in the mass spectra were consistent with two tellurium atoms in Ia (m/z 538 for C₂₈H₄₂³⁰Se₂).





t-Bi

were isolated, which probably reflects the rigor of the deoxygenation.⁹ In the absence of rigorous deoxygenation and at higher pH, the ring-contracted ketone **6** was the major product.

1a, Z = Ci

The 3-fold symmetry of 4a was apparent from both carbon and proton NMR spectra. The ¹³C spectrum consisted of 11 signals (six olefinic and five aliphatic, Figures 1 and 2 in the supporting information). In the coupled ¹³C spectrum of 4a, the olefinic carbons at δ 139.4, 135.9, and 133.4 have no proton substituents while the olefinic carbons at δ 131.6, 126.7, and 119.9 have one proton substituent each. The methine carbon was observed at δ 35.6. The quaternary carbons of the two different *tert*-butyl groups were observed at δ 39.6 and 38.9 while the methyl resonances of the tert-butyl groups were observed at δ 31.2 and 31.0. The ¹H NMR spectrum displayed two *tert*-butyl singlets, two three-proton olefinic singlets, and a three-proton olefinic doublet coupled to a one-proton quartet (δ 4.53, J = 9 Hz). The mass spectrum of 4a was consistent with the desired compound (m/z 970 for C₄₃H₆₄¹³⁰Te₃), displaying the appropriate isotope pattern for a molecule with three tellurium atoms.

The isolation of 4a under these conditions suggested that basic hydrolysis of dye 1a initially gave a mixture of aldehyde 3a and methylidene 5a (Scheme 3). Compound 5a generated *in situ* in this manner and then reacted with dye 1a to give 4a. The addition of preformed 5a to a deoxygenated dichloromethane solution of 1a (Z = Cl) with 1 equiv of pyridine also gave 4a but in only 20% isolated yield.

If the compounds 4 were formed during the condensation reactions of Scheme 1, they would need to be cleaved in the presence of acid to give the heteroatom scrambling. The addition of a 5-fold excess of HPF₆ to an acetic anhydride solution of 4a gave the absorption spectrum of 1a (Λ_{max} 830 nm in CH₂Cl₂). A mixture of 1a and 2a with Z = PF₆ was isolated from this mixture. The fragmentation of compounds 4a in the presence of acid suggested that unsymmetrical tris(chalcogenopyranylmethylidene)methanes might be intermediates in the scrambling of heteroatoms in the preparation of unsymmetrical dyes 1.

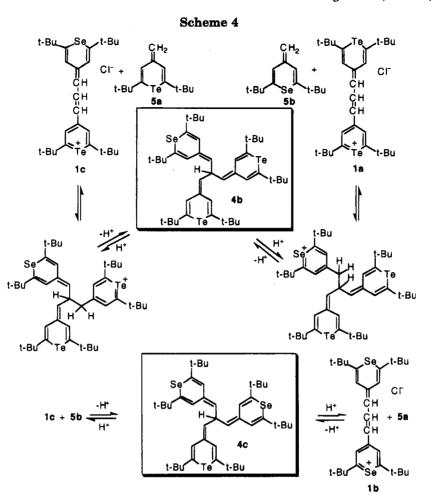
Unsymmetrical tris(chalcogenopyranylmethylidene)methanes 4 would be the expected initial products from the reaction of a dye 1 with a 4-methylidenechalcogenopyran 5 where the three chalcogen atoms are nonidentical. Reversible fragmentation of compounds 4 would lead to heteroatom scrambling. To test this hypothesis, 15 mol % of either 5a or 5b was added to a de-

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⁽⁹⁾ Compound 6 has been prepared from 1a and hydrogen peroxide as described in: Detty, M. R. Organometallics 1991, 10, 702. Presumably, the addition of hydroxide to a carbon bearing the heteroatom in 1a followed by air-oxidation of the tertiary alcohol would lead to intermediates similar to those of the peroxide addition.



oxygenated acetic anhydride solution of unsymmetrical dye 1c (X = Te, Y = Se, Z = Cl) at reflux. Aliquots were diluted into CH_2Cl_2 and monitored spectrophotometrically. Following addition of the methylidene compound, new absorption bands at 750 and 830 nm were observed while the band at 786 nm (corresponding to 1c) was diminished. The final product mixture was close to a statistical distribution of heteroatoms.^{1b} (Figures 3 and 4 of the supporting information.)

The scrambling observed with 1c and catalytic 5a suggests that the unsymmetrical tris(chalcogenopyranylmethylidene)methane 4b is formed as an intermediate. Protonation at the selenopyranylmethylidene carbon leads to symmetrical telluropyrylium dye 1a and selenopyranylmethylidene 5b, which could then react further, as outlined in Scheme 4. Similarly, the addition of 5b to 1c would generate tris(chalcogenopyranylmethylidene)methane 4c where protonation of the telluropyranyl methylidene carbon leads to symmetrical selenopyrylium dye 1b and telluropyranyl methylidene 5a.

The formation of unsymmetrical tris(chalcogenopyranylmethylidene)methanes 4 during the synthesis of unsymmetrical dyes 1 according to Scheme 1 is a probable route to heteroatom scrambling. A reverse aldol mechanism for heteroatom scrambling appears too slow to be a significant contributor to the chalcogen scrambling mechanism. We are investigating other preparative routes to compounds 4 in order to explore the properties of these compounds.

Experimental Section

Chalcogenopyrylium dyes 1 were prepared as previously described.^{1b} Ether extractions were washed with brine and dried over magnesium sulfate prior to concentration.

Preparation of 2,6-Di-tert-butyltelluropyrylium Hexafluorophosphate (2a). 2,6-Di-tert-butyl-4H-telluropyran-4-one (2.25 g, 7.00 mmol)¹⁰ was dissolved in 12 mL of dry THF under an argon atmosphere. Methylmagnesium bromide (1.4 M, 14 mL, 20 mmol) was added dropwise via syringe (exothermic!). After addition was complete, the reaction mixture was stirred for 0.5 h at ambient temperature. The reaction mixture was poured into a mixture of 100 g of ice-water and 17 mL of 60% HPF6. The tan powder was collected by filtration, washed with water (3 × 50 mL) and ether (3 × 50 mL), and dried to give 2.96 g (93%) of **2a** as an off-white powder. An analytical sample, mp 176–178.5 °C, was prepared by recrystallization from ethanol: ¹H NMR (CD₃CN) δ 8.39 (s, 2 H), 2.46 (s, 3 H), 1.58 (s, 18 H); FDMS m/z 321 (C₁₄H₂₃¹³⁰Te). Anal. Calcd for C₁₄H₂₃TePF₆: C, 36.24; H, 5.00. Found: C, 36.60; H, 5.00.

Preparation of 2,6-Di-tert-butylselenopyrylium Hexafluorophosphate (2b). 2,6-di-tert-butyl-4H-selenopyran-4-one (4.00 g, 14.8 mmol)¹⁰ in 25 mL of dry THF under an argon atmosphere was treated with methylmagnesium bromide (1.4 M, 20 mL, 28 mmol) as described for the synthesis of **2a**. Product yield was 4.42 g (72%) of **2b** as a white powder. An analytical sample, mp 197.5-199 °C, was prepared by recrystallization from ethanol: ¹H NMR (CD₃CN) δ 8.34 (s, 2 H), 2.66 (s, 3 H), 1.54 (s, 18 H); FDMS, m/z 271 (C₁₄H₂₃⁸⁰Se). Anal. Calcd for C₁₄H₂₃-SePF₆: C, 40.49; H, 5.58. Found: C, 40.46; H, 5.54.

Preparation of -4-Methylidene-2,6-di-*tert***-butyl-4H**-tel**luropyran (5a).** 2,6-Di-*tert*-butyl-4-methyltelluropyrylium hexafluorophosphate (**2a**, 0.232 g, 0.500 mmol) was dissolved in 2 mL of ethanol and was added to 50 mL of a pH 9.3 buffer (0.25 M dibasic potassium phosphate), which had been deoxygenated by argon bubbling. After addition was complete, the products were extracted into ether. The crude product was purified via flash chromatography on silica gel eluted with toluene to give 0.146 g (92%) of **5a** as a yellow oil: ¹H NMR (CDCl₃) δ 6.31 (s, 2 H), 5.04 (s, 2 H), 1.23 (s, 18 H); ¹³C NMR (CDCl₃) δ 145.9 (s), 137.9 (s), 125.6 (d), 117.0 (t), 39.3 (s), 32.0

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(q); FDMS m/z 320 (C₁₄H₂₂¹³⁰Te). Anal. Calcd for C₁₄H₂₂Te: C, 52.89; H, 6.98. Found: C, 53.09; H, 6.65.

Reprotonation of 5a To Give 2a. To a solution of 0.100 g (0.31 mmol) of **5a** in 5 mL of ethanol under an argon atmosphere was added 0.11 g (0.46 mmol) of 60% aqueous HPF₆. The resulting mixture was cooled in an ice bath, and the white crystalline solid was collected by filtration, washed with water $(5 \times 10 \text{ mL})$ and ether $(3 \times 10 \text{ mL})$, and dried to give 0.123 g (85%) of 2,6-di-*tert*-butyl-4-methyltelluropyrylium hexafluorophosphate (**2a**).

Preparation of 4-Methylidene-2,6-di-tert-butyl-4H-selenopyran (5b). 2,6-Di-tert-butyl-4-methylselenopyrylium hexafluorophosphate (2b, 0.208 g, 0.500 mmol) was dissolved in 2 mL of ethanol and was added to 50 mL of a pH 9.3 buffer (0.25 M dibasic potassium phosphate) as described above for the preparration of 6a. Product yield was 0.119 g (88%) of a yellow oil: ¹H NMR (CDCl₃) δ 6.35 (s, 2 H), 4.79 (s, 2 H), 1.22 (s, 18 H); ¹³C NMR (CDCl₃) δ 140.0 (s), 126.0 (s), 120.0 (d), 110.3 (t), 37.95 (s), 30.3 (q); FDMS, m/z 270 (C₁₄H₂₂⁸⁰Se). Anal. Calcd for C₁₄H₂₂Se: C, 62.42; H, 8.23. Found: C, 62.63; H. 8.05.

Reprotonation of 5b To Give 2b. To a solution of 0.135 g (0.50 mmol) of **5b** in 5 mL of ethanol under an argon atmosphere was added 0.16 g (0.70 mmol) of 60% aqueous HPF₆. The resulting mixture was cooled in an ice bath, and the white crystalline solid was collected by filtration, washed with water $(5 \times 10 \text{ mL})$ and ether $(3 \times 10 \text{ mL})$, and dried to give 0.166 g (80%) of 2,6-di-*tert*-butyl-4-methylselenopyrylium hexafluorophosphate (2b).

Preparation of Tris(2,6-di-tert-butyltelluropyranyl)methylidene)methane (4a). A. Basic Hydrolysis. Dye 1a (Z = Cl, 0.136 g, 0.20 mmol) was dissolved in 2 mL of ethanol. The resulting solution was added dropwise to 20 mL of a deoxygenated buffer solution at pH 8.4 (0.25 M dibasic potassium phosphate with pH adjustment with 0.25 M monobasic potassium phosphate). An orange crystalline solid formed. The products were extracted into ether. The product mixture was purified by flash chromatography on silica gel eluted with 10% EtOAc- CH_2Cl_2 . Compounds 4a and 6 coeluted first followed by the telluropyranyl aldehyde 3a. The addition of 1 mL of hexane to the mixture of 4a/6 gave burnt-orange crystals of 4a, which were collected by filtration and dried to give 0.066 g (69%)of the product, mp 207-208 °C. The hexane solution contained approximately 8 mg of ketone 6 as determined by spectral comparison to an authentic sample.⁹ The telluropyranyl aldehyde **3a**¹¹ was slower to elute and was isolated in 0.028 g (80%) yield.

For **3a**: ¹H NMR (CDCl₃) δ 10.21 (d, 1 H), 8.02 (s, 1 H), 6.66 (s, 1 H), 5.87 (d, 1 H), 1.33 (s, 9 H), 1.29 (s, 9 H); IR (film) 1640 cm⁻¹; FDMS m/z 348 (C₁₅H₂₂¹³⁰Te).

For **4a**: ¹H NMR (CDCl₃) δ 6.52 (s, 3 H), 6.20 (s, 3 H), 5.31 (d, 3 H, J = 9 Hz), 4.53 (q, 1 H, J = 9 Hz), 1.215 (s, 27 H), 1.18 (s, 27 H); ¹³C NMR (CDCl₃) δ 139.4 (s), 135.9 (s), 133.4 (s), 131.6 (d), 126.7 (d), 119.9 (d), 39.6 (s), 38.9 (s), 35.6 (d), 31.2 (q), 31.0 (q); FDMS m/z 970 (C₄₃H₆₄¹³⁰Te₃). Anal. Calcd for C₄₃H₆₄Te₃: C, 53.58; H, 6.69. Found: C, 53.60, H, 6.53.

B. With Preformed 5a. Dye 1a (Z = Cl, 0.068 g, 0.10 mmol) and pyridine (9 μ L, 0.008 g, 0.1 mmol) were dissolved in 1 mL of CH₂Cl₂. Telluropyranyl methylidene 5a (0.032 g, 0.10 mmol) in 1 mL of CH₂Cl₂ was added, and the resulting mixture was stirred at ambient temperature for 1 h. The reaction mixture was placed directly on a silica flash column and eluted with CH₂-Cl₂ to give 0.019 g (20%) of 4a.

Protonolysis of 4a To Give 1a and 2a. To a solution of 4a (0.050 g, 0.052 mmol) in 5 mL of acetic anhydride was added 0.062 g (0.26 mmol) of 60% aqueous HPF₆. The pale red solution immediately turned a much deeper yellow green. A 3.0 μ L aliquot of the reaction mixture was diluted into 3 mL of CH₂Cl₂ and the absorption spectrum measured to give λ_{max} of 830 nm with an absorbance of 1.75 in a 1-cm cell (theoretical absorbance of 2.06 based on 100% conversion to 1a and ϵ of 330 000 L mol⁻¹ cm⁻¹).¹ The reaction mixture was concentrated to approximately 1 mL, and 10 mL of ether was added. The resulting solution was chilled, and the precipitate was collected. ¹H NMR analysis of the solid was consistent with roughly a 1:1 mixture of $1a^{1b}$ and 2a. For 1a (Z = PF₆): ¹H NMR (CD₃CN) δ 8.70 (t, 1 H, J = 13.5 Hz), 6.61 (br s, 4 H), 6.99 (d, 2 H, J = 13.5 Hz), 1.51 (s, 36 H). For 2a: ¹H NMR (CD₃CN) & 8.39 (s, 2 H), 2.46 (s, 3 H), 1.58 (s, 18 H).

Scrambling of Heteroatoms in 1c with Catalytic 5a. A sample of 1c (0.127 g, 0.20 mmol) was dissolved in 4 mL of hot acetic anhydride. A 16 μ L aliquot of the resulting solution was diluted into 100 mL of CH₂Cl₂. To the bulk acetic anhydride solution was added 0.010 g (0.031 mmol) of 5a in 1 mL of acetic anhydride under an argon atmosphere. The resulting solution was heated at reflux for 10 min. A 20 μ L aliquot of the reaction mixture was diluted into 100 mL of CH₂Cl₂. Comparison of the absorption spectra of starting material (Figure 3 of the supporting information) and reaction mixture (Figure 4 of Supplementary Material) showed a decrease in the absorption of 1c at 786 nm (absorbance change from 1.8 to 1.2) and two new absorbance bands at 830 nm (1a,¹ absorbance of 0.5) and 750 nm (1b,¹ absorbance of 0.7).

Scrambling of Heteroatoms in 1c with Catalytic 5b. A sample of a mixture of 1c (0.127 g, 0.20 mmol) and 0.009 g (0.03 mmol) of 5a was treated as described above. Comparison of the absorption spectra of starting material and reaction mixture showed a decrease in the absorption of 1c at 786 nm (absorbance change from 1.8 to 1.3) and two new absorbance bands at 830 nm (1a,¹ absorbance of 0.45) and 750 nm (1b,¹ absorbance of 0.75).

Supporting Information Available: Figures 1 and 2, which show the uncoupled and coupled ¹³C NMR spectra, respectively, of compound **4a**, and Figures 3 and 4, which show the absorption spectra of pure **1c** and **5a**-induced scrambling of the heteroatoms, respectively (4 pages). This material is contained in libraries on microfiche, immediately follows the article in the microfilm version of the journal, and can be ordered from the ACS; see any current masthead page for ordering information.

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⁽¹¹⁾ The aldehyde **3a** has been described and an analytical sample characterized as its dimethyliminium tetrafluoroborate salt. However, spectral data for **3a** have not been reported: Detty, M. R.; Luss, H. R. *Organometallics* **1988**, 7, 1131.